

**Appendix 1 - Audit Plan Monitoring 2021/22**  
**Year end position**

| Audit   | Service                 | Plan Days | Status       | Fieldwork started | Report issued to Management | Finalised | Opinion: Level of Assurance | High Priority Recs | Medium Priority Recs | Low Priority Recs |
|---|-------------------------|-----------|--------------|-------------------|-----------------------------|-----------|-----------------------------|--------------------|----------------------|-------------------|
| Housing H&S – gas safety                      | Housing and Property    | 15        | Final report | ■                 | ■                           | ■         | substantial                 | 0                  | 3                    | 1                 |
| Council housebuilding                         | Housing and Property    | 15        | Final report | ■                 | ■                           | ■         | substantial                 | 0                  | 0                    | 0                 |
| KFC: Payroll                                  | Corporate Services      | 12        | Final report | ■                 | ■                           | ■         | substantial                 | 0                  | 0                    | 0                 |
| KFC: Housing Benefits                         | Customer Services       | 15        | Final report | ■                 | ■                           | ■         | substantial                 | 0                  | 0                    | 0                 |
| Safeguarding                                  | Community and Wellbeing | 10        | Final report | ■                 | ■                           | ■         | substantial                 | 0                  | 0                    | 1                 |
| Contract management                           | Contracts and Technical | 20        | Final report | ■                 | ■                           | ■         | moderate                    | 0                  | 3                    | 1                 |
| Leisure Management contract                   | Contracts and Technical | 12        | Final report | ■                 | ■                           | ■         | moderate                    | 0                  | 4                    | 0                 |
| IT Major Incident Management Strategy         | Corporate Services      | 8         | Final report | ■                 | ■                           | ■         | moderate                    | 0                  | 3                    | 2                 |
| KFC - Treasury Management                     | Corporate Services      | 10        | Final report | ■                 | ■                           | ■         | moderate                    | 0                  | 1                    | 1                 |
| Feeder systems and reconciliations            | Corporate Services      | 15        | Draft report | ■                 | ■                           |           |                             |                    |                      |                   |
| Qualis Management KPIs and payment mechanisms | Chief Executive         | 10        | In progress  | ■                 |                             |           |                             |                    |                      |                   |
| Section 106 agreements                        | Planning Services       | 12        | In progress  | ■                 |                             |           |                             |                    |                      |                   |
| KFC - Debtors                                 | Customer Services       | 15        | In progress  |                   |                             |           |                             |                    |                      |                   |
| Staff Recruitment                             | Corporate Services      | 15        | Cancelled    |                   |                             |           |                             |                    |                      |                   |
| Town centre projects                          | Economic Development    | 20        | Cancelled    |                   |                             |           |                             |                    |                      |                   |
| Contractor Health and Safety                  | Contracts and Technical | 15        | Cancelled    |                   |                             |           |                             |                    |                      |                   |
| <b>TOTAL</b>                                  |                         |           |              |                   |                             |           |                             | <b>0</b>           | <b>14</b>            | <b>6</b>          |

Key  
c/f = carried forward  
H&S = Health and Safety  
KFC = Key Financial Controls